



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C.

20231, on November 28, 1995

(Date of Deposit)

Andrew D. Fortney, Ph.D.

Name of applicant, assignee or Registered Rep.

Signature

Date

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF:

KRZYSZTOF MATYJASZEWSKI et al.

SERIAL NO.: 08/414,415

FILED: MARCH 31, 1995

FOR: NOVEL (CO)POLYMERS AND A NOVEL  
POLYMERIZATION PROCESS BASED  
ON ATOM (OR GROUP) TRANSFER  
RADICAL POLYMERIZATION

:

:

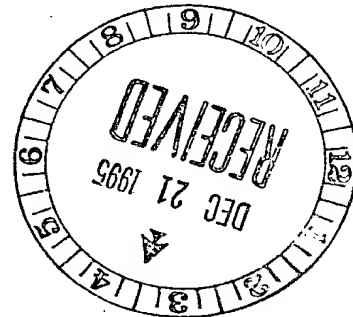
:

:

GROUP ART UNIT:

EXAMINER:

5/2



PRELIMINARY AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Under the provisions of 37 C.F.R. 1.115, prior to examination on the merits, Applicants respectfully request entry and consideration of the following Amendments and Remarks.

IN THE SPECIFICATION

Page 3, line 24, after "801).", insert as a new sentence

--This system is similar to the redox initiators developed early (Bamford, in *Comprehensive Polymer Science*, Allen, G., Aggarwal, S. L., Russo, S., eds., Pergamon: Oxford, 1991, vol. 3, p. 123), in which the small amount of initiating radicals were generated by redox reaction between (1)  $RCHX_2$  or  $RCX_3$  (where  $X = Br, Cl$ ) and (2)  $Ni(0)$  and other transition metals. The reversible

108